1 Micro-Raman spectroscopy of rock paintings from the

2 Galb Budarga and Tuama Budarga rock shelters,

3 Western Sahara.

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43 Abstract

44 Rock paintings of two recent discovered rock shelters, Galb Budarga and Tuama

- 45 Budarga, from the southeastern area of the Western Sahara, Sahrawi Arab Democratic
- 46 Republic, have been studied by micro-Raman spectroscopy and scanning electron
- 47 microscopy coupled with energy dispersive X-ray spectrometry in order to characterize
- the composition of the materials present in the painting panels. An unusual white
- pigment has been used in the zoomorphic pictographs of the Galb Budarga shelter
- 50 which main components are the anhydrite (CaSO₄) polymorphs I and II. Red and orange
- 51 zoomorphic figures and ancient Berber scripts have been painted in the Tuama Budarga
- rock shelter. Haematite (α -Fe₂O₃) is the main component of the paints used; amorphous carbon and different manganese oxides have also been detected. Accretions of gypsum
- 54 (CaSO₄.2H₂O) and anhydrite have been observed on the shelter wall used to paint.
- 55 α -Quartz (α -SiO₂), albite (NaAlSi₃O₈), dolomite, CaMg(CO₃)₂, calcite (CaCO₃) and
- traces of hydroxylapatite $(Ca_{10}(PO_4)_6(OH)_2)$ have been identified in the rocks
- 57 supporting the paintings of both sites. Layers of calcium oxalates, whewellite
- 58 (CaC₂O₄.H₂O) and weddellite (CaC₂O₄.(2+x)H₂O, $x \le 0.5$) cover the pictorial panels of
- these rock shelters. A microestratrigraphic study of the paint used in the Tuama
- 60 Budarga shelter revealed that the pigment layer is bracketed between oxalate layers.
- 61 Keywords: Rock art painting, micro-Raman spectroscopy, anhydrite, haematite.
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63 **1. Introduction**

64 In the last two decades Raman spectroscopy has become a powerful tool for the study of prehistoric rock art paintings [1]. As a non destructive technique that offers molecular 65 information, even to discriminate mineral polymorphs, Raman spectroscopy has reached 66 a relevant analytical position in this field. Our research group, collaborating with 67 archaeologists and prehistorians has analyzed rock paintings from different parts of the 68 69 world since years [1-3]. Micro-Raman spectroscopy is particularly efficient to identify the microscopic and heterogeneous composition of pictorial materials, substrata, 70 accretions, contaminants, their granular size and microstratigraphic distribution. 71 Deterioration processes, conservation and authenticity of the rock art are also aims of 72 these studies. After our previous studies in Europe, Asia and America, two rock 73 shelters, Galb Budarga and Tuama Budarga, with pictographs depicted in their walls, 74 and located in the Tiris area of Western Sahara, Sahrawi Arab Democratic Republic 75 (Fig. S1), are an excellent opportunity to study African rock art paintings. They have 76 been hidden under dessert sand (Figs.S2 and S3) for an unknown time, and 77 consequently they are an attractive subject to study. In addition, the Berber script 78 observed in their walls is a valuable written legacy of North African people that merits 79 consideration. Microscopic techniques like micro-Raman spectroscopy (µ-Raman), 80 81 scanning electron microscopy coupled with energy dispersive X-ray spectrometry 82 (SEM/EDX), polarised light microscopy (PLM) and microstratigraphic studies of thin cross sections of paint samples are very useful to characterize the composition and 83 distribution of the materials present in rock art painting panels [1-6]. Therefore, they 84

- 85 have been applied in this work to identify the pictorial materials used in these
- pictographs, as well as those of the rocks supporting the paintings and the surfaces of
- 87 the painted areas.

88 2. Archaeological background

89 Galb Budarga and Tuama Budarga rock art sites were discovered on 2011 by one of the authors of the present work (Andoni Sáenz de Buruaga) during an archaeological 90 campaign of the University of the Basque Country and the Culture Department of the 91 Government of the Sahrawi Arab Democratic Republic [7-9]. These sites were found in 92 the south-eastern end of Western Sahara, inside the Sahrawi Arab Democratic Republic 93 and close to the Mauritanian border. These rock shelters, separated 3750 m, are part of 94 95 the NE-SW Galabt Duguech mountain alignment, located a few kilometres west of Duguech, in the South East sector of the Tiris Sahrawi region (Fig. S1). Both cavities 96 97 have different types of pictographs, and were made using different colours. 98 Nevertheless, all of them can be included into the Libyco-Berber artistic period of the Western Sahara ornamental production. The Galb Budarga shelter is decorated with 99 white animal figures, Fig.1a, an unusual rock art colour. However, the Tuama Budarga 100 shelter contains zoomorphic figures and ancient Berber scripts in different red and 101 102 orange colour-scheme shades, Fig. 1b-1e. Despite these differences, both repertories are chrono-stylistically related with the classically denominated Libyco-Berber stage of the 103 Sahrawi artistic sequence, i.e. a period of time in which the "tifinagh" inscriptions 104 would have their culminating development in this part of the Western Sahara, between 105 106 about the first millennium BC to midway through the first millennium AD [10,11]. 107 Nevertheless, some chromatic overlays observed on the central panel of the Galb Budarga rock shelter, like those observed in other sites of the same southern area of the 108 Sahrawi Tiris, could reveal older depictions in red Tuama Budarga script than in Galb 109 110 Budarga white pictures [12].

- 111 **3. Material and methods**
- 112 *3.1. Sample collection*

Specimens of paints with different colours, as well as of the rocky substrata from both 113 sites have been extracted, according to a protocol previously described [13], for 114 laboratory analyses. Appropriated characteristics of the rock surface, paint 115 representativeness and archaeological relevance have been considered to select the 116 sampling points. Specimens of the rock (GB1) and the white paint (GB2) have been 117 extracted from the Galb Budarga painting panel. Specimens of Tuama Budarga rock 118 119 shelter have also been removed and it could be distinguished five different colour shades: pale orange (TB1), dark orange (TB5), pale red (TB4), red (TB3) and dark red 120 (TB2). 121

122 *3.2. Instrumental*

All the samples were investigated with no previous mechanical or chemical treatment. 123 Descriptions of the instruments used for the µ-RS, SEM/EDX and PLM studies, as well 124 as the preparation of the thin polished cross-sections of paint specimens, have been 125 described elsewhere [6,14]. Specific experimental details of this work are reported next. 126 The µ-Raman spectroscopic study has been carried out with a Jobin Yvon HORIBA 127 128 LabRam-IR HR-800 laboratory spectrograph coupled to an Olympus BX41 microscope. 129 The 632.8 nm line of a He/Ne laser was used for Raman excitation with an effective power of 1.51 mW (50× LWD objective lens) measured at the sample position. The 130 average spectral resolution in the Raman shift range of 100-1700 cm⁻¹ was 1 cm⁻¹ (focal 131 length 800 mm, grating 1800 grooves/mm, and confocal pinhole 100 µm). The lateral 132 resolving power at the specimen in these conditions is $2 \sim 4 \text{ } \mu\text{m}$ (50× LWD objective 133 lens). Depending on the spectral background of fluorescence radiation and the intensity 134 135 of the Raman signals an integration time of between 2 and 10 s and from 16 to 36 spectral accumulations were used in order to achieve an acceptable signal-to-noise ratio. 136 The sine bar linearity of the spectrograph was adjusted using the fluorescent lamps of 137 the lab (zero order position) and the lines at 640.22 and 837.76 nm of a Ne lamp. The 138 confocality of the instrument was refined using the 519.97 cm⁻¹ line of a silicon wafer. 139 Wavenumber shift calibration was accomplished with 4-acetamidophenol, naphthalene 140 and sulphur standards [15] over the range 150-3100 cm⁻¹. This result in a wavenumber 141 mean deviation of Δv_{cal} - $\Delta v_{obs} = -1.21 \pm 0.71$ cm⁻¹ (t_{Student} 95%). The software package 142 GRAMS/AI v.7.00 (Thermo Electron Corporation, Salem, NH, USA) was used to assist 143 with the wavenumber peak-picking and baseline procedures. 144 The micromorphology and distribution of the components in the TB3 specimens have 145 been observed with a Hitachi S-3000N scanning electron microscope equipped with an 146 Everhart-Thornley detector of secondary electrons with an operating resolution of 3 nm. 147 X-ray microanalyses (EDX) of the specimens were carried out with an energy 148

- dispersive X-ray spectrometer (Rontec Xflash Detector 3001) coupled to the scanning
 electron microscope, Peltier-refrigerated and with the Be window removed.
- 151 Polished thin cross sections (thickness 30 µm) of some specimens have been prepared
- by means of a matrix of Microtek epoxi resin. A petrographic microscope Leica
- DM2500 with polarised light has been used to obtain microphotographs of these crosssections. They have been used for microstratigraphic studies.
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156 4. Results and discussion

Besides the strong spectral background of fluorescence radiation observed in somespectra, significant Raman bands could be distinguished and assigned to different

- 159 components. The results obtained are summarised in Table 1.
- 160
- 161 4.1. Galb Budarga
- 162 Raman spectra of the rock supporting the paintings of this site indicates the presence of
- 163 α -quartz (α -SiO₂), dolomite (CaMg(CO₃)₂), albite (NaAlSi₃O₈), haematite (α -Fe₂O₃)
- 164 [16-18], calcium sulphates like gypsum (CaSO₄.2H₂O), Fig. 2, and anhydrite (CaSO₄).

Hydroxylapatite (Ca₅(PO₄)₃OH) micro-crystals have also been detected in the 165 substratum. Bands of this calcium phosphate are observed at 445, 606, 963, 1032, 1058 166 and 1070 cm⁻¹, Fig. 3A. The strong band at 963 cm⁻¹ is assigned to the symmetric 167 stretching mode of the $(PO_4)^{3-}$ anion, whereas the band at 1070 cm⁻¹ is assigned to 168 carbonate group (CO_3^{2-}) inclusions [19,20]. The expected O-H stretching band of 169 hydroxylapatite at 3572 cm⁻¹ [19] is overlapped with the very broad O-H stretching 170 171 Raman bands of water molecules from whewellite (CaC₂O₄.H₂O) between 3200 and 3700 cm⁻¹, Fig. 3Ac. Hydroxylapatite is the main component of human and animal 172 bones [19,20]. About a 70% of bone weight is due to hydroxylapatite, a 22% to proteins 173 like collagen and an 8% to water molecules. It is known that the biological 174 175 hydroxylapatite is a non estecheometric molecule with inclusions of carbonate (CO_3^{2-}) and hydrogenphospate (HPO₄)²⁻ anions and other ions like Na⁺, Mg²⁺, Sr²⁺, K⁺, Cl⁻ y F⁻. 176 In the Fig. 4 the Raman spectra shows no protein or carbonate bands, although at high 177 wavenumbers hydroxyl groups can be identified. Thus, the origin of hydroxylapatite in 178 179 this sample it is not clear, it could be attributed to traces of the natural mineral as well as

to bone ashes as part of the painting recipe.

The specimen of white paint from Galb Budarga has a thick and coarse surface. Its 181 Raman spectra revealed that the dominant component of the paint is anhydrite (CaSO₄). 182 This sulphate is the final result of gypsum dehydration after an intermediate phase of 183 bassanite (CaSO₄·0,5H₂O). Nevertheless, there are different types of anhydrite which 184 185 can be distinguished by Raman spectroscopy: anhydrite III (soluble), anhydrite II (natural or insoluble) and anhydrite I [21]. The stability of these three phases depends 186 on different factors like the dehydration temperature or impurities in the specimen. 187 188 Anhydrite II is the most abundant phase in the sample of the white pigment, Fig. 3B. However, it is difficult to discriminate the bands from the different polymorphs, Table 189 2. Bands at 1008 and 1016 cm⁻¹ in Fig. 5b may be assigned to the symmetric stretching 190 mode of the sulphate group from two calcium sulphates that coexist in the same 191 specimen. The band at 1008 cm⁻¹ is clearly assigned to gypsum. Bands at 1016 and 192 1128 cm⁻¹ can be assigned either to anhydrite I or II, as well as bands at 417, 502, 608, 193 627 and 675 cm⁻¹. The environmental conditions of the sites in the Western Sahara 194 desert, with an extremely dry climate, could provoke gypsum dehydration. Therefore, 195 rock paintings containing hydrated forms of calcium sulphate exposed to sunlight and 196 wind can exhibit different phases of their dehydration process. Despite this 197 198 consideration it cannot be declared whether the paints were made only with gypsum or with a mixture containing originally gypsum and anhydrite. Whewellite, (CaC₂O₄.H₂O), 199 a hydrated form of calcium oxalate, has been detected in some samples of Galb Budarga 200 site from their Raman spectra, Fig. 3Bb. Crusts of whewellite and weddellite [CaC₂O₄ 201 202 $(2+x)H_2O$, $x \le 0.5$] are frequently found on the surface of rock shelters as result of the metabolic activity of lichens, fungi, bacteria and microbes inhabiting their outer layers 203 [22-24]. Layers of these oxalates in close relationship with the paint layers could be 204 used for radiocarbon dating of the pictographs [25,26]. 205

206 4.2. Tuama Budarga

As indicated previously, five paint specimens of different colours have been extracted 207 from the Tuama Budarga rock shelter. Their colour scheme varies from dark orange to 208 dark red. Small flakes containing paint in one face and rests of substratum in the other 209 have been studied by µ-Raman spectroscopy. The main component of all the Tuama 210 Budarga paint specimens is haematite, Fig. 4. In the case of the specimen TB1, Fig. 4a, 211 the full-width at half height of the Raman bands and the absence of the band at 660 cm⁻¹ 212 213 indicate the presence of very well crystallized haematite. The haematite particles of these specimens have a fine granular size, less than 1 µm. Accretions of whewellite and 214 weddellite are present in all specimens. Hydroxylapatite, Fig. 3Aa, anhydrite, Fig. 3Ba, 215 and the other minerals detected in the rocks of the Galb Budarga shelter have also been 216 217 observed in this site. The strong and broad D1 and G bands of amorphous carbon at 1344 and 1603 cm⁻¹ respectively in the Raman spectra of black micro-particles of the 218 paint, Fig.5b, suggests the addition of this component, charcoal or soot, to the pictorial 219 recipe. Bone black can be excluded due to the absence of the band corresponding to 220 symmetric stretching mode of the phosphate group at ~960 cm⁻¹. Special attention 221 deserves the specimen TB3. Raman spectra of this paint specimen show three bands at 222 575, 673 and 723 cm⁻¹ in the spectral region where bands of manganese oxides (Mn_xO_y) 223 and oxyhydroxides $(Mn_xO_y(OH)_z)$ assigned to the Mn–O and Mn–OH bending and 224 stretching vibrations (450-800 cm⁻¹) [27-35] appear, Fig. 6b. The identification of these 225 226 compounds is frequently difficult [18,36-38] because they have no clear Raman signature due to non-stoichiometric and disordered structures [39]. Their low 227 crystallinity [37], different oxidation states, and the simultaneous presence of different 228 compounds in the same specimen even at microscopic level [38] make the identification 229 230 even more difficult. Furthermore, manganese oxides/oxyhydroxides may suffer thermal alterations under high laser power values [28,39]. Nevertheless, the observed bands 231 could tentatively be assigned to a mix of birnessite 232 $(Na_{0.3}Ca_{0.1}K_{0.1}(Mn^{4+},Mn^{3+})_2O_4 \cdot 1,5H_2O)$ and nsutite $((Mn^{4+},Mn^{2+})(O,OH)_2)$ [28,29,40]. 233 The presence of manganese compounds have been confirmed by SEM/EDX, Fig. 6a. 234 Considerable quantities of haematite and calcium oxalates have also been found in the 235 TB3 specimen. Microstratigraphic analysis of this specimen, Fig. 7, reveals the presence 236 of three different layers over the rocky substratum. The external layer is a thin coating 237 of whewellite with some haematite microparticles, Fig. 7a. The middle layer of red paint 238 239 contains an important amount of haematite with some particles of whewellite, calcite and amorphous carbon, Fig. 7b. As in the TB1 specimen, very well crystallized 240 haematite has been used as pigment. Only one band at 610 cm⁻¹ is observed in the 241 600-700 cm⁻¹ spectral range [41,42], the band at ~660 cm⁻¹ is not present, broadening of 242 the band at \sim 407 cm⁻¹ is not observed [36] and the bands at 222, 289 and 608 cm⁻¹ are 243 strong and very narrow (their full-width at half height is less than 13 cm⁻¹) [13,32, 40], 244 Fig. 7b. Whewellite and some traces the polyester resin used to prepare the thin cross 245 section for the microstratigraphic study have been detected in the internal layer, Fig. 7c. 246 247 The paint layer is between two whewellite layers, an opportunity to bracket between ante quem and post quem radiocarbon dates the pictorial event [25,26]. 248

250 **5.** Conclusions

Two different types of paint have been identified in the pictographs of the Galb Budarga 251 and Tuama Budarga rock shelters by µ-Raman spectroscopy. The Galb Budarga shelter 252 253 is decorated with an unusual white paint containing mainly anhydrite I and II and some gypsum. Crusts of the calcium oxalates whewellite, and weddellite, with some particles 254 of haematite, are also present. The motifs depicted in the Tuama Budarga shelter have 255 been painted in orange-red colours. Haematite is the principal component of the paint 256 used. Small amounts of manganese oxides/oxyhydroxides, tentatively birnessite and 257 258 nsutite, amorphous carbon and calcite have also been detected in the paint. SEM/EDX analyses confirmed the presence of manganese compounds. The presence of all these 259 components may suggest the use of a pictorial recipe to elaborate the paint. Accretions 260 261 of whewellite, weddellite, anhydrite, and hydroxylapatite have also been identified in the painting panel of this rock shelter. Three different layers have been identified by 262 microstratigraphic µ-Raman analyses of Tuama Budarga paint specimens. The paint 263 264 layer, mainly composed by haematite, is between calcium oxalate layers (whewellite, weddellite). Finally, albite, α -quartz, dolomite, gypsum, anhydrite, haematite and 265 hydroxylapatite have been identified in the rocks supporting the paintings of both sites. 266

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Site	Specimen	Rock substrata and accretions	Paintings
Galb Budarga	GB1 substratum	α-Quartz, albite, dolomite, haematite, anhydrite, gypsum, hidroxylapatite	
	GB2 white pigment	Whewellite, gypsum	Anhydrite
Tuama Budarga	TB1 pale orange	α -Quartz, albite, weddellite, gypsum, anhydrite	Haematite
	TB2 dark red	Whewellite, weddellite	Haematite, amorphous carbon
	pigment TB3 red pigment	Whewellite, gypsum, manganese oxide/oxihydroxide	Haematite, amorphous carbon
	TB4 red pigment	Albite, gypsum, anhydrite	Haematite
	TB5 orange pigment	Albite, hidroxylapatite, gypsum, anhydrite	Haematite

Table 1. Identified compounds in rock substrata, accretions and paintings in African sites by µ-Raman

Table 2. Raman bands of calcium sulphates polymorphs [21] and those from the Galb Budarga white paint (GB2)

F)					
Active Raman modes	Gypsum /cm ⁻¹	Bassanite / cm ⁻¹	Anhydrite III /cm ⁻¹	Anhydrite II /cm ⁻¹	Anhydrite I /cm ⁻¹	GB2
v_2	415, 495	428, 489	420, 490	417, 499	417 , 497	418, 500
ν4	620, 673	627, 669	630, 673	609, 628, 675	609, 628, 676	610, 628, 676
ν_1	1008	1015	1025	1017	1017	1017
ν ₃	1134	1128	1167	1111, 1128, 1160	1108, 1127, 1158	1112, 1128, 1158

405 Wavenumbers in bold correspond to Raman bands of calcium sulphates close to those of the Galb

406 Budarga white pigment.

408 Figure legends

409 410 411	Figure 1.	a, zoomorphic motifs from the Galb Budarga rock shelter. The scale is 30 cm in length; b, c, d, e, pictographs from the Tuama Budarga rock shelter. The scales are 10 cm in length.
412 413	Figure 2.	Galb Budarga Raman spectra from the specimen GB1. Labels: alb, albite; h, haematite and gy, gypsum.
414 415 416 417 418 419 420	Figure 3. Figure 4.	A, Raman spectra of white paint from the Galb Budarga shelter. Labels: anh, anhydrite II; w, whewellite; gy, gypsum; B, selected and representative Raman spectra from the rocky substrata and accretions of the painting panels of both sites: a, Tuama Budarga shelter; b, Galb Budarga shelter. Labels: hAp, hydroxylapatite; wd, weddellite; w, whewellite. Representative Raman spectra from Tuama Budarga paint specimens: a, TB1; b, TB4; c, TB5; d, TB3 and e, TB2. Labels: h, haematite; w, whewellite.
421 422 423	Figure 5.	Representative Raman spectra from the Tuama Budarga: a, TB1; b, TB2 and c, TB1. Labels: alb, albite; wd, weddellite; w, whewellite; ac, amorphous carbon; anh, anhydrite; h, haematite.
424 425 426 427	Figure 6.	a, EDX spectra and SEM image of the TB3 specimen from Tuama Budarga shelter. Ni and Cu peaks are from the metallic sample holder; b, representative Raman spectrum of the paint specimen TB3 from the Tuama Budarga rock shelter. Labels: bir, birnessite; nsu, nsutite.
428 429 430 431 432	Figure 7.	(Up) Microphotograph with polarized light of a polished thin section $(30 \ \mu m)$ of a small flake from the specimen TB3; a, external layer; b, paint layer; c, internal layer. (Down) Raman spectra from each (a, b, c) microstratigraphic layers. Labels: h, haematite; w, whewellite; ca, calcite; ac, amorphous carbon; res, polyester resin.
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434		



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Figure 7. Up, microphotograph with polarized light of a polished thin section (30 μm)
of a small flake from the specimen TB3; a, external layer; b, paint layer; c,
internal layer. Below, Raman spectra from each (a, b, c) microstratigraphic
layers. Labels: h, haematite; w, whewellite; ca, calcite; ac, amorphous carbon;
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