Access to published version: https://www.sciencedirect.com/science/article/pii/S0026265X21006196#f0005 FIRST-ORDER DISCRIMINATION OF METHANOLIC EXTRACTS FROM PLUMS ACCORDING TO HARVESTING DATE USING FLUORESCENCE SPECTRA. QUANTIFICATION OF POLYPHENOLS

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1 Abstract

2 Fluorescence spectroscopy in combination with chemometric analysis was applied to discriminate 3 between Japanese Angeleno variety of plums, according to the date of harvesting. Emission 4 spectra (obtained from 280 to 500 nm, and from 345 to 500 nm, respectively) of methanolic 5 extracts of plums at two excitation wavelengths (280 and 330 nm, respectively) were obtained. 6 The fluorescence spectral data were firstly processed by Principal Component Analysis (PCA), 7 as an exploratory study, to extract relevant information from the spectral data, and revealed 8 differentiation between plum samples based in the harvested time. In addition, Partial Least-9 Squares-Discriminant-Analysis (PLS-DA) was used for the development of the classification 10 models, allowing 100% accuracy to differentiate between the date of harvesting, independently 11 that pulp or skin plum extracts were analyzed. Spectral patterns of plums showed significant 12 differences during maturation period, with a special emphasis between the months of May and 13 September. In addition, calibration models were obtained for different individual polyphenols 14 with partial least-squares (PLS) regression, obtaining the best results for epicatechin and 15 neochlorogenic acid determination.

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17 Keywords: Fluorescence; Discriminant analysis; PCA; PLS-DA; PLS; plums; polyphenols

18

19 1. Introduction

20 Fruit consumption is essential for a healthy diet thanks to the great contribution of benefits thereof 21 [1]. As a result, consumption of fruit is increasingly requiring strict quality parameters, also, is 22 important the goal of preserving fresh products on the market for longer periods of time. To have 23 a good acceptation of fruits in the market requires appropriate physico-chemical properties related 24 to fruit maturity stages. Typically, the fruit gatherers use morphological changes such as fruit 25 colour, changes in shape, taste and softness, as indicators for determining the optimal maturity 26 stage for harvesting [2]. One of the objectives of the collection of the fruit before its full maturity 27 is to keep the fresh product on the market for a longer time. In consequence, it is important to 28 dispose the sufficient knowledge to ensure that their products have the highest possible quality 29 and to predict early harvest characteristics and post-harvest behaviour, as well as determining the 30 optimum date of harvest. However, the traditional methods for determining the optimal maturity 31 stage for harvesting are destructive, time consuming, laborious, and costly, and require specific 32 sample preparation steps [3].

Stone fruits, including plums, are polyphenol rich. The most important phenolic compounds in plums are hydroxycinnamic acids, mainly caffeoylquinic acid isomers, where neochlorogenic acid is predominant [4]. Together with other phenolic acids, such as flavonoids, the level of this compound varies significantly among the harvest date. These compounds are described in many works as fluorescence compounds, being fluorescence spectroscopy an appropriate technique for their analysis [5,6].

The use of classification techniques has made remarkable progress during the last decades in all fields, for example, food, pharmaceuticals, environmental, biomedical matrices, and so forth. In the agronomic-food field, it is becoming a common tool both for controlling production and for studying the influence of storage on the qualities of the final product [7,8]. Actually, the fact of having instruments not excessively sophisticated that are capable of obtaining abundant 44 information on the characteristics of the samples has facilitated the implementation of these45 techniques.

46 Until now, spectroscopic techniques in combination with chemometrics have been widely 47 employed for discrimination of different food matrices such as food of vegetal origin [9], 48 alcoholic beverages [10], herbs and spices [11] and many others [12]. However, there are few 49 works in the literature about the use of fluorescence for discrimination of foods in spite the 50 presence of compounds with fluorescence properties in fruits and foods in general. Fluorescence 51 signal, as descriptive variable with classification purposes, in the food field, has the advantage 52 that a relatively small number of the compounds present in food samples contributes to native 53 fluorescence, thus increasing the selectivity of the information.

54 For example, fluorescence in combination with different chemometric approaches has been used 55 in the discrimination of apple juices using right angle or front-face fluorescence due to 56 antioxidants properties [13], the discrimination between apple juices belonging to two categories: 57 those produced directly, not from concentrate, and those reconstituted from concentrate apple 58 juices [14] or between commercial berry fruit beverages [15]. Another example included the use 59 of excitation – emission fluorescence spectroscopy coupled with multi-way chemometrics 60 techniques for the classification of large beers [16]. Also, this technique has been used for the 61 discrimination between Argentinean yerba mate from three commercial categories employing 62 first and second-order models and different chemometric approaches (LDA, QDA, PARAFAC 63 and N-PLS) [17].

The application of classification methods, as chemometric strategies for predicting a qualitative response, implies building a model that can assign an individual to a category based on the data that have been collected to describe it. In this context, a category (or class) is a group of objects sharing similar characteristics. In discriminant analysis, spectral data are assigned to definite classes, so that qualitative information complements quantitative spectral data. The purpose of the classification methods is to obtain weighted combinations of data that minimize variances within classes and maximize variances between classes. Then, the classification rules are used to
assign new or unknown samples to the most probable subclasses. Prior to discriminant analysis,
principal component analysis is often applied to spectral data sets to reduce data set size and
minimizing possible co-linearity effects. The validity of a classification method can be verified
by a comparison of distances or testing [18].

With respect to the classifications of plum samples, UV–Vis, near infrared (NIR) and synchronous fluorescence, in combination with chemometric methods, have been used to discriminate samples of high-quality plum brandies of different varietal origins [19], and frontface fluorescence has been used to discriminate samples from different maturation stages [20].

With this background, in this work, we will explore the use of fluorescence, using the classical right-angle technique, from methanolic extracts of plums, in combination with chemometrics (classification and quantification techniques), for the discrimination of plums according to their date of harvesting, and the quantification of the content of the main polyphenol compounds in plums.

84

85 2. Materials and methods

86 2.1. Samples and standards

A total of fifty-six samples were used in this study. Samples were collected on an experimental
plot located in the "Vegas Bajas del Guadiana" (Badajoz, Spain) in an altitude of 184 m. Variety
of plums was a late-maturation Japanese Angeleno plum variety planted in 2005. Samples were
divided in four groups when they were analyzed: extracts from skin of plums collected in May
(group 1), extracts from skin of plums collected in September (group 2), extracts from pulp of
plums collected in May (group 3) and extracts from pulp of plums collected in September (group
4).

94 Standard solutions of catechin, epicatechin, chlorogenic acid, neochlorogenic acid and
95 procyanidin B2 were used to register reference spectra. Catechin, epicatechin and neochlorogenic
96 acid were purchased from Sigma Aldrich Chemie (Steinheim, Germany), chlorogenic acid was
97 obtained from Fisher Scientific, and procyanidin B2 was supplied by Extrasynthése (Genaym,
98 France).

99 2.2. Preparation of methanolic extracts

Samples were peeled and skin was separated from pulp before lyophilization and extraction to
perform the different analysis. Then, 0.5 g of lyophilized samples were used for extraction with
10 mL of methanol:water:formic acid (50:49:1, v/v), using an ultrasonic extraction for 14 minutes.
After that, extracts were centrifuged for 10 min, at 10000 rpm, at 4°C. Supernatants were diluted
1/100 (v/v) with methanol for further analysis.

105 2.3. Reference polyphenols analysis

106 Polyphenols analysis of samples was performed by HPLC following the method described by 107 Cabrera-Bañegil et al. [6]. An Agilent 1260 Infinity High Performance Liquid Chromatograph 108 (Agilent Technologies, CA, USA) and a Teknokroma Tracer Excel 120 ODS-A column (150 mm 109 \times 4.6 mm and 5 μ m particle size) were used. Mobile phase was composed of 0.5% (v/v) formic 110 acid and water (A), and acetonitrile (B). Analytes were eluted in gradient mode: 90% of 0.5% 111 (v/v) formic acid in water (eluent A) and 10% of acetonitrile (eluent B) was held for 20 min. 112 Between 20 and 45 min the percentage of eluent B increases from 10 up to 30% and, between 45 113 min and 46 min, the percentage of eluent B increases from 30 up to 100% and the formic acid 114 content decreased in correspondence. These conditions were maintained until 53 min and, finally, 115 the eluent B content was decreased to the initial conditions (10% B), and the column was re-116 equilibrated for 5 min. A flow of 0.5 mL/min was used and a volume of 20 µL was employed as 117 injection volume. A fast-scanning fluorescence detector was used and excitation/emission wavelengths were set at 270/ 350 nm, for catechin, epicatechin and procyanidin B2, and at 118

119 320/430 nm for chlorogenic and neochlorogenic acids. The quantification of polyphenolic120 compounds was carried out by standard addition calibration.

121 2.4. Fluorescence measurement

122 Fluorescence data were obtained from pulp and skin methanolic extracts, by means of a 123 fluorescence spectrophotometer Varian Model Cary Elipse (Agilent Technologies, Madrid, 124 Spain) in the conventional mode, using a right angle. A quartz cell of 10 mm was used. Emission 125 spectra (280 - 500 nm, each 1 nm) were recorded at an excitation wavelength of 280 nm; and 126 emission spectra (345 - 500 nm, each 1 nm) were also collected at an excitation wavelength of 127 330 nm. Slits of excitation and emission monochromators were set at 5 nm, respectively, with a 128 scan rate of 300 nm/min. To obtain the excitation – emission matrix the excitation range was from 129 240 to 380 nm, each 5 nm, and the emission range was from 280 to 500, each 1 nm.

130 2.5. Data processing and multivariate analysis

Firstly, all spectra were smoothed using the Savitzky Golay method to eliminate some noise
signals [21]. In order to explore the main variation among the four groups of samples, Principal
Component Analysis (PCA) [22] was applied using all the fifty-six samples mentioned before.
As two excitation wavelengths, 280 and 330 nm, were used, two data sets were considered for
analysis.

After that, to evaluate the possibility of discrimination of samples according to the date of harvesting. Partial least-squares-discriminant analysis (PLS-DA) was used as classification algorithm [23]. PLS-DA involves performing a multivariate regression model to establish class limits and placing a numeric value to each object/sample first, and then classifying new samples into a specific class. Data analysis was done using a graphical interface [24] in Matlab (R2016b, The MathWorks, Inc. Natick, MA, USA). To obtain calibration models for polyphenols quantification, PLS regression was applied [25].
Cross-validation was used to determine the number of components to use in the calibration and
to evaluate the performance of the models. Number of components were selected according to the
explained variance. The Unscrambler version 6.11 (CAMO Software AS, Oslo, Norway) was
used for data analysis.

147 3. Results and discussion

148 3.1. Spectral information

149 For this study, methanolic extracts from the pulp and from the skin of the plums with different 150 maturation stages, were obtained. In first place, and with the object to visualize the emission spectral zones, excitation-emission fluorescence landscapes of methanolic extracts of skin and 151 152 pulp of plums were obtained and two characteristics spectral regions were observed (Figure 1A). 153 The first region presented a maximum excitation wavelength at 280 nm, and the second region 154 presented an excitation maximum at 330 nm. Figures 1B and 1C show the emission spectra for 155 the methanolic extracts from the pulp and from the skin, obtained at the two different excitation 156 wavelengths, the most characteristic ones. In Figure 1B (excitation at 280 nm), the main 157 differences correspond with intensity of signals when samples harvested in different months were 158 compared. This is, extracts from May exhibited higher fluorescence, about three times more, than 159 extracts from September in both cases: pulp and skin. These high intensity maxima have been 160 also obtained, by synchronous fluorescence, in plum brandies samples with little differences in 161 function of the presence or absence of color in the sample [26]. In addition, when the emission 162 spectra of the skin and pulp samples were compared some differences could also be highlighted. 163 Although intensities in May were similar for both skin (blue) and pulp (violet) groups, a shift was 164 shown in their spectra. In the case of extracts from skin, an emission maximum is located at 321 165 nm. However, in the case of extracts from pulp, the emission maximum shows a small 166 hypochromic effect, and it is located at 315 nm. This might be related with different polyphenol

167 compounds present in both extracts. This region is characteristic for catechin, epicatechin and168 procyanidin, main polyphenols presented in plums [6].

169 In the case of the second excitation wavelength, 330 nm (Figure 1C), similar trends were 170 observed. In this case, an emission maximum appeared at 424 nm for the skin extracts and at 435 171 nm for the pulp extracts. For both extracts, the emission band presents a wide shape. In this 172 spectral region, and with the samples harvested in May, it is noted that the fluorescence intensity of the skin extracts is significantly higher than the pulp extracts. With respect to the samples 173 174 harvested in September, the fluorescence of the skin extracts decreases, and the fluorescence of 175 the pulp extracts disappears. In accordance with previous studies, this region is characteristic for 176 chlorogenic and neochlorogenic acids, that are the predominant phenolic acids in plums [6,27,28]. 177 Also, this fluorescence region maximum has been observed by synchronous fluorescence in 178 colored and colorless plum brandies samples [26].

179 3.2. Exploratory analysis: Principal Component Analysis

In order to evaluate the main differences between the four groups, an exploratory analysis was performed with PCA. PCA analysis allowed detecting potential outliers and systematic artifacts in the samples. In this case, when residual x- variance was plotted versus leverage, no outliers were observed in our samples. This is an unsupervised method, and it was used to evaluate whether clustering exists without using class membership information. Samples were divided in two data sets according to the excitation wavelength. For each data set, all groups of samples (skin September, pulp September, skin May and pulp May) were analyzed.

In the set of emission spectra with excitation at 280 nm, best discrimination was obtained for scores for PC1 and PC2, explaining 98 and 2% of the variance, respectively. Figures 2A and 2B show the scores and loadings obtained, respectively. Score values for PC1 are higher for samples harvested in May, which means that positive loadings are positively related to these samples. The main variable affecting the separation of groups is observed in the loading for PC1, Figure 2B,

192 and was located at an emission wavelength of 318 nm. This variable might be related with 193 procyanidin and epicatechin that exhibit maxima signal around 314 nm (Figures 3A and 3B). This 194 result is in accordance with the general decrease of total phenolic and total flavonoids in plums 195 of Sanshua variety during fruit maturation [29]. Another group was observed, according to the 196 PC2, which explained only 2% of variance but it was enough for differentiation. In this case, the 197 main variable affecting the separation was at 308 nm (positive) and 340 nm (negative). Score 198 values for PC2 were higher for samples from pulp than from skin. In this case, differentiation 199 might be due to the presence of catechin that presents a maximum signal around 308 nm (Figure 200 3C).

In the set of spectra with excitation at 330 nm, best discrimination was obtained for scores of PC1 explaining 99% of the variance. Figures 2C and 2D show the scores and loadings obtained, respectively. Along the first component, samples were divided by harvesting date, being the contribution of first component higher for May than for September. In this case, no differentiation was observed according to skin and pulp. In this set, the loadings of the PC1 can be related with the presence of chlorogenic and neoclorogenic acids in plums, showing the main variables affecting the separation of groups at 424 nm for emission wavelength.

208 3.3. Classificatory analysis: Partial Least-Squares – Discriminant Analysis

After PCA, classificatory analysis was performed with different strategies. In a first step, all samples were considered as training samples and PLS-DA was assayed in both sets of data. With two components, the total variance was explained (100%) in both cases. Results are shown in Table 1. As seen, results confirmed the good classification of the four groups. In this case, a test set was not used as all samples were used as training set. It was observed that better discrimination was obtained for spectra at 280 nm of excitation wavelength (error rate (ER) = 0) than for spectra at 330 nm (ER = 3.5%). A second strategy consisted on dividing the entire sample data set into the training set, comprising the 50% of the samples, and use the rest of samples as test samples. In this case, also two components were enough to explain 100% of the variance. For the training set, acceptable predictions were obtained (Table 2), with ERs of 3.5 and 7% respectively, for the two different excitation wavelengths. However, when the test set (50% of samples) was predicted using these models, all samples were well-attributed to their group, Table 2.

Finally, a third strategy, using 30% of samples as training set and 70% of samples as test set was carried out. Total variance was explained by two components in both cases. For training set, 100% of accuracy was obtained in both data sets. In this case, acceptable predictions were obtained for test set, with ERs of 3 and 8%, respectively. These classification studies demonstrated the huge variability between four groups, being possible to create models with only four samples per class and obtain good results for predicted samples. In short, all models could be considered acceptable taking into account the criteria that ER were lower than 10% in all cases [30].

229 **3.4.** Quantification of polyphenols

In addition, quantification studies were performed. For that, random samples of plums (twenty-three samples) were analyzed by HPLC to obtain the reference values of polyphenols.

232 Correlation coefficients (Pearson's r) between the different polyphenolic compounds were 233 obtained. The results revealed a high correlation between catechin and epicatechin (r = 0.86), 234 which means, those samples with high content of catechin also present high content of 235 epicatechin. In addition, a high correlation was found between procyanidin and catechin (r = 0.85) 236 and epicatechin (r = 0.90). In the case of chlorogenic and neochlorogenic acids, weak correlation 237 were found with the previous ones, but the correlation between them was 0.58. The high 238 correlations between some polyphenols might influence in the calibration models when individual 239 polyphenols try to be quantified.

Using the spectra as X and individual polyphenol content obtained by HPLC as Y, calibration
models were obtained by means of cross-validation procedure. Table 3 provides the results
obtained for the different models based on the spectra at the two excitation wavelengths.
Components were selected according to the explained variance, obtained few components (2 or
in all cases, which means that overfitting did not occurred.

245 As observed, the best model was obtained for epicatechin, with a low prediction error and a high 246 determination coefficient (R^2). The regression coefficients for this model are shown in Figure 4B, 247 corresponding the main variables affecting the models with the maxima obtained in the spectrum 248 for the pure standard (Figure 3B). Also, acceptable models were obtained for catechin and 249 procyanidin. Regression coefficients for procyanidin model (Figure 4C) offered same information 250 than in the case of epicatechin (Figure 4B), which may be expected due to the similarity of 251 standard spectra for both compounds (Figure 3C). However, in the case of catechin (Figure 4A), 252 the regression coefficients did not show the main variables from catechin (Figure 3A), so this 253 model might be a bit uncertain due to the low concentration of this compound in samples.

In the case of chlorogenic and neochlorogenic acids, the best model was obtained for the last one. Both compounds presented a similar spectrum (Figure 3D and 3E), as a result, similar regression coefficients (Figure 4D and 4E) were obtained for their corresponding models, although a shift in the main variables was observed. The better results obtained for neochlorogenic acid might be related with the fact that the concentration interval found for this compound is wider than for chlorogenic acid. Similar determination coefficient was obtained and a high root-mean-squareerror for cross validation. (RMSECV) resulted.

Calibration models offered promising results which need to be expanded including more samples
with high variability. It would be possible to quantify polyphenols in methanolic extracts using
simple fluorescence spectra and avoiding large procedures by HPLC, which requires more time,
solvents and higher cost.

265 4. Conclusions

266 Emission spectra of methanolic extracts of plums were used as fingerprints for their 267 differentiation. PCA allowed discrimination of samples by date of harvesting (May or September) 268 in both data set used. However, the discrimination between the two parts of plums (skin or pulp) 269 was only obtained with emission spectra at 330 nm of excitation. Samples were better 270 discriminated with PLS-DA obtaining accuracy around 100%. Also, models composed by 271 reduced number of samples offered acceptable prediction results. Classification results were due 272 to polyphenol content. In addition, calibrations models obtained by PLS provided good results about individual quantification of polyphenols with R^2 values of 0.74 and 0.89, for 273 274 neochlorogenic acid and epicatechin, respectively, which could be interesting to investigate in the 275 future to expand the calibration models with more samples.

276

277 Acknowledgements

Financial support was provided by the Ministerio de Ciencia, Innovación y Universidades of
Spain (Project CTQ2017-82496-P) and Junta of Extremadura (Project IB20016 and Research
Group FQM003), both co-financed by the Fondo Europeo de Desarrollo Regional. Manuel
Cabrera-Bañegil thanks to Consejería de Economía e Infraestructuras of the Comunidad
Autónoma de Extremadura for a FPI grant (PD16015), co-financed with the Fondo Social
Europeo.

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Figure captions

Figure 1. A) Excitation-emission matrix and contour plot of a methanolic extract from pulp plum sample. B) Samples emission spectra obtained exciting at 280 nm. C) Samples emission spectra obtained exciting at 330 nm.

Figure 2. Score values (A) and loadings (B) obtained from PCA of emission spectra at 280 nm for excitation. Score values (C) and loadings (D) obtained from PCA of emission spectra at 330 nm for excitation.

Figure 3. Emission spectra for different standards: epicatechin (A), procyanidin (B), catechin (C), chlorogenic acid (D) and neochlorogenic acid (E). A, B and C were obtained at 280 nm excitation wavelength and D and E were obtained at 330 nm excitation wavelength.

Figure 4. Regression coefficients obtained for the different models: catechin (A), epicatechin (B), procyanidin (C), chlorogenic acid (D), neochlorogenic acid (E) and chlorogenic + neochlorogenic acids (F).

All samples (Training set)								
	E	Excitation 280 nm						
Real/	May	September	May	September				
Predicted	(skin)	(skin)	(pulp)	(pulp)				
May (skin)	14	-	-	-				
September (skin)	-	16	-	-				
May (pulp)	-	-	12	-				
September (pulp)	-	-	-	14				
Excitation 330 nm								
	May	September	May	September				
	(skin)	(skin)	(pulp)	(pulp)				
May (skin)	12	-	2	-				
September (skin)	-	16	-	-				
May (pulp)	-	-	12	-				
September (pulp)	-	-	-	14				
50% samples (Training set)								
	E	Excitation 280 nm						
Real/	May	September	May	September				
Predicted	(skin)	(skin)	(pulp)	(pulp)				
May (skin)	7	-	-	-				
September (skin)	-	7	1	-				
May (pulp)	-	-	6	-				
September (pulp)	-	-	-	7				
	E	Excitation 330 nm						
Real/	May	September	May	September				
Predicted	(skin)	(skin)	(pulp)	(pulp)				
May (skin)	5	-	2	-				
September (skin)	-	8	-	-				
May (pulp)	-	-	6	-				
September (pulp)	-	-	-	7				
	30% s	amples (Training	set)					
Excitation 280 nm								
Real/	May	September	May	September				
Predicted	(skin)	(skin)	(pulp)	(pulp)				
May (skin)	4	-	-	-				
September (skin)	-	5	-	-				
May (pulp)	-	-	4	-				
September (pulp)	-	-	-	4				
Excitation 330 nm								
Real/	May	September	May	September				
Predicted	(skin)	(skin)	(pulp)	(pulp)				
May (skin)	4	_	_	-				
September (skin)	-	5	-	-				
May (pulp)	-	-	4	-				
September (pulp)	-	-	-	4				
May (skin) September (skin) May (pulp) September (pulp)	4 - - -	- 5	- - 4 -	- - 4				

Table 1. Confusion matrices for the different training sets studied.

	509	% samples (test set)				
Excitation 280 nm							
Real/	May	September	May	September			
Predicted	(skin)	(skin)	(pulp)	(pulp)			
May (skin)	7	-	-	-			
September (skin)	-	8	-	-			
May (pulp)	-	-	6	-			
September (pulp)	-	-	-	7			
Excitation 330 nm							
Real/	May	September	May	September			
Predicted	(skin)	(skin)	(pulp)	(pulp)			
May (skin)	7	-	-	-			
September (skin)	-	8	-	-			
May (pulp)	-	-	6	-			
September (pulp)	-	-	-	7			
70% samples (test set)							
Excitation 280 nm							
Real/	May	September	May	September			
Predicted	(skin)	(skin)	(pulp)	(pulp)			
May (skin)	9	1	-	-			
September (skin)	-	11	-	-			
May (pulp)	-	-	8	-			
September (pulp)	-	-	-	10			
Excitation 330 nm							
Real/	May	September	May	September			
Predicted	(skin)	(skin)	(pulp)	(pulp)			
May (skin)	8	-	2	-			
September (skin)	-	11	-	-			
May (pulp)	-	-	9	-			
September (pulp)	-	-	-	9			

Table 2. Confusion matrices for the different tests set studied.

Table 3. Summary of PLS regression models obtained for predicting different polyphenols.

Excitation 280 nm								
	Range (µg/mL)	N° components	R² (CV)	RMSECV (µg/mL)				
Catechin	0 - 0.32	2	0.73	0.05				
Epicatechin	0.1 - 2.6	1	0.89	0.23				
Procyanidin B	0 - 1.7	1	0.67	0.29				
Excitation 330 nm								
	Range (µg/mL)	N° components	R² (CV)	RMSECV (µg/mL)				
Chlorogenic acid	0 - 0.52	1	0.54	0.09				
Neochlorogenic acid	0 - 1.8	1	0.74	0.18				
Neochlorogenic acid +	0 - 1.8	1	0.73	0.24				

RMSECV: root-mean-square-error for cross validation



Figure 1



Figure 2



Figure 3



Figure 4